

DIRECTION DE LA CONFORMITE

Montrouge, January 10th, 2024

Concern: US Certification

To the kind attention of: Compliance Officer

Dear Sirs,

As per the USA Patriot Act, you are required to obtain a Certification from the foreign institutions having bank relationships or trading activities with your institution.

CREDIT AGRICOLE SA takes into account international standards and rules as well as national obligations laid out in the French Code Monétaire et Financier (Book V, Title VI).

As a credit and financial institution, CREDIT AGRICOLE SA is subject to the monitoring and control of the "Autorité de Contrôle Prudentiel et de Résolution" and the "Autorité des Marchés Financiers", French regulators of the banking and financial sector.

In this respect, you will find herewith attached a Certification dully filled and signed, concerning the USA Patriot Act.

This Certification is issued on behalf of Crédit Agricole SA and applies to all the branches and subsidiaries within its control perimeter (Appendix A), including the regional banks "Caisses régionales" listed in Appendix B and CA Titres as mentioned in Appendix C.

Yours Sincerely,

Valentine AURIOL
Group Head of Financial Security
Compliance Department

Encl.: 1 certification

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).¹

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, Crédit Agricole SA ("Foreign Bank") hereby certifies as follows:

¹ A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

B. Correspondent Accounts Covered by this Certification: Check one box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by _____ (name of Covered Financial Institution(s)) for Foreign Bank.

C. Physical Presence/Regulated Affiliate Status: Check one box and complete the blanks.

- Foreign Banks maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: 12, place des Etats Unis 92127 Montrouge Cedex, France, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in France (insert country), where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by Autorité de Contrôle Prudentiel et de Résolution (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____ where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does not have a physical presence in a country and is not a regulated affiliate.

D. Indirect Use of Correspondent Accounts: Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank

hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information: Check box 1 or 2 below, if applicable.

1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, if **applicable**.

3. **Foreign Bank has no owner(s) except as set forth below.** For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family² shall be considered one **person**.

Name	Address

² The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

F. Process Agent: complete the following.

The following individual or entity: Crédit Agricole CIB New York Branch
is a resident of the United States at the following street address:
1301 6th Ave, New York, NY 10019, United States, and
is authorized to accept service of legal process on behalf of Foreign Bank from the
Secretary of the Treasury or the Attorney General of the United States pursuant to
Section 5318(k) of title 31, United States Code.

G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Valentine AURIOL (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

Crédit Agricole SA
[Name of Foreign Bank]

[Signature]

Valentine AURIOL
[Printed Name]

Head of Group Financial Security
[Title]

Executed on this 10 day of January, 2024

Received and reviewed by:

Name: _____

Title: _____

For: _____

[Name of Covered Financial Institution]

Date: _____

[67 FR 60573, Sept. 26, 2002]

Paperwork Reduction Act Notice

Public recordkeeping burden for this collection of information is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 1506-0043. You may submit comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, by calling the FinCEN Resource Center at 800-767-2825 or by email at frc@fincen.gov. Alternatively, you may mail us comments at Policy Division, Financial Crimes Enforcement Network, P.O. Box 39, Vienna, VA 22183. Please include 1506-0043 in the body of the text.

APPENDIX A

NAME	ADDRESS
CREDIT AGRICOLE SA London Branch	1st Floor – Broadwalk House 5 Appold Street EC2A 2DA LONDRES <u>HOME REGULATOR</u> AUTORITE DE CONTROLE PRUDENTIEL ET DE RESOLUTION 4, place de Budapest 75009 PARIS <u>HOST REGULATOR</u> FCA 25 The North Colonnade Canary Warf London E14 5HS United Kingdom

For information, CREDIT AGRICOLE SA's regulators are:

AUTORITE DE CONTROLE PRUDENTIEL ET DE RESOLUTION

4, place de Budapest
75009 PARIS

AUTORITE DES MARCHES FINANCIERS

17, place de la Bourse
75082 PARIS CEDEX 02

APPENDIX B

NAME	ADDRESS
ALPES PROVENCE	25, Chemin des Trois Cyprès Route de Gallice 13090 AIX EN PROVENCE FRANCE
ALSACE VOSGES	1 place de la Gare 67000 STRASBOURG FRANCE
ANJOU MAINE	77, avenue Olivier Messiaen 72000 LE MANS FRANCE
AQUITAINE	106 quai de Bacalan 33000 BORDEAUX FRANCE
ATLANTIQUE VENDÉE	Route de Paris 44949 NANTES FRANCE
BRIE PICARDIE	500, rue Saint-Fuscien 80095 AMIENS FRANCE
CENTRE EST	1 rue Pierre de Truchis de Lays 69410 CHAMPAGNE AU MONT D'OR FRANCE
CENTRE France	3 avenue de la Libération 63045 CLERMONT-FERRAND FRANCE
CENTRE LOIRE	8, allée des Collèges 18920 BOURGES FRANCE
CENTRE OUEST	29, bd. de Vanteaux 87044 LIMOGES FRANCE
CHAMPAGNE-BOURGOGNE	269 Faubourg Croncels 10000 TROYES FRANCE
CHARENTE-MARITIME DEUX SÈVRES	14 rue Louis Tardy 17140 LAGORD FRANCE
CHARENTE-PÉRIGORD	28-30 Rue d'Epagnac 16800 SOYAUX FRANCE
CORSE	1 avenue Napoléon III BP 308 20193 AJACCIO CEDEX FRANCE
COTES D'ARMOR	La Croix Tual 22440 PLOUFRAGAN FRANCE
FINISTÈRE	7, route du Loch 29555 QUIMPER FRANCE

FRANCHE COMTÉ	11, avenue Elisée Cusenier 25000 BESANCON FRANCE
GUADELOUPE	Petit-Pérou - Guadeloupe 97176 Les Abymes cedex FRANCE
ILLE ET VILAINE	4, RUE LOUIS BRAILLE 35040 SAINT-JACQUES-DE-LA-LANDE CS 64017 CEDEX FRANCE
LANGUEDOC	Avenue de Montpelliéret MAURIN 34977 LATTES CEDEX FRANCE
LOIRE HAUTE-LOIRE	94, rue Bergson 42000 SAINT-ETIENNE FRANCE
LORRAINE	56-58 avenue André Malraux 57000 METZ FRANCE
MARTINIQUE - GUYANE	Rue Cases Nègres place d'Armes - Martinique 97232 LE LAMENTIN FRANCE
MORBIHAN	Avenue de Kéranguen 56000 VANNES FRANCE
NORD DE France	10, square FOCH 59800 LILLE FRANCE
NORD-EST	25, rue Libergier 51100 REIMS FRANCE
NORD MIDI PYRENEES	219 avenue François Verdier 81000 ALBI FRANCE
NORMANDIE	15, esplanade Brillaud de Laujardière 14050 CAEN CEDEX FRANCE
NORMANDIE SEINE	Cité de l'Agriculture CS70800 76238 BOIS GUILLAUME CEDEX FRANCE
PARIS ET ILE DE France	26 quai de la Rapée 75012 PARIS FRANCE
PROVENCE COTE D'AZUR	Avenue Paul Arène Les Négadis BP78 83002 DRAGUIGNAN FRANCE
PYRENEES GASCOGNE	11, boulevard du Président Kennedy 65000 TARBES France

REUNION	Parc Jean de Cambiaire Cité des Lauriers 97400 ST-DENIS FRANCE
SAVOIE	P.A.E. Les Glaisins - 4 avenue du Pré-Félin Annecy-Le-Vieux 74985 ANNECY FRANCE
SUD MEDITERRANEE	30, rue Pierre Bretonneau 66100 PERPIGNAN FRANCE
SUD RHONE ALPES	12 PLACE DE LA RESISTANCE CS 20067 38041 GRENOBLE CEDEX 9 FRANCE
TOULOUSE 31	6, Place Jeanne d'Arc - BP 40535 31005 TOULOUSE Cedex 6 FRANCE
TOURAIN POITOU	18, rue Salvador Allende BP 307 86008 POITIERS FRANCE
VAL DE France	1, rue Daniel Boutet 28002 CHARTRES FRANCE

APPENDIX C

NAME	ADDRESS
CA TITRES	4, avenue d'Alsace BP 12 41500 MER FRANCE